

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

:

Tomoyuki OKADA et al.

:

Serial No. 09/836,292

:

Attn: **BOX MISSING PARTS**

Filed April 18, 2001

:

Docket No. 2001-0453

INFORMATION RECORDING MEDIUM,  
APPARATUS AND METHOD OF  
RECORDING OR REPRODUCING  
INFORMATION TO OR FROM THE  
MEDIUM

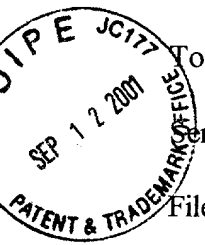
**COVER LETTER ACCOMPANYING VERIFIED ENGLISH TRANSLATION OF  
APPLICATION ORIGINALLY FILED IN FOREIGN LANGUAGE AND  
APPLICATION DECLARATION FILED AFTER APPLICATION FILING DATE**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

In response to the PTO Notice of June 12, 2001, a copy of which is enclosed, also enclosed is a verified English translation of the above application. Further, enclosed is the PTO surcharge of \$130.00 required by 37 C.F.R. 1.52(d).

Further, enclosed is an application Declaration for the above application executed by the inventors. Also enclosed is the PTO surcharge of \$130.00 required by 37 C.F.R. 1.16(e).



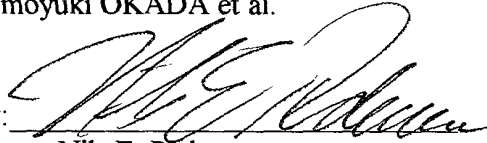
09/836,292

Therefore, it is submitted that the application now is complete, and early issuance of a Filing Receipt is requested.

Respectfully submitted,

Tomoyuki OKADA et al.

By:



Nils E. Pedersen  
Registration No. 33,145  
Attorney for Applicants

NEP/krl  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
September 12, 2001

102160" 2629E860

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE TO FORT HENRI TO DEPOSIT  
ACCOUNT NO. 23-0975



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/836,292	04/18/2001	Tomoyuki Okada	2001-0453

000513  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N. W.  
SUITE 800  
WASHINGTON, DC 20006-1021

CONFIRMATION NO. 6901

## FORMALITIES LETTER



\*OC000000006170862\*

Date Mailed: 06/12/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

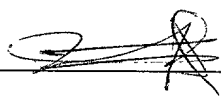
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

09/14/2001 EADUBAK1 00000025 09036292

01 FC:103	36.00 OF
02 FC:105	130.00 OF
03 FC:139	170.00 OF

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FD-360-629250

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:

Tomoyuki OKADA et al.

Serial No.: 09/836,292

Filed: April 18, 2001 Attn: BOX MISSING PARTS

For: Information Recording Medium, Apparatus and Method for  
Recording or Reproducing Data thereof

TRANSLATOR'S DECLARATION

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

I, Junichi KAWABATA, declare:

that I am thoroughly familiar with both the Japanese and  
English languages;

that the attached document represents a true English  
translation of U.S. Patent Application Serial No. 09/836,292  
filed April 18, 2001; and

That I further declare that all statements made herein  
of my own knowledge are true and that all statements made on  
information and belief are believed to be true; and further  
that these statements were made with the knowledge that  
willful false statements and the like so made are punishable  
by fine or imprisonment, or both, under Section 1001 of Title  
18 of the United States Code, and that such willful false  
statements may jeopardize the validity of the application or  
any patent issuing thereon.

Date: September 4, 2001

  
Junichi KAWABATA

09836292-091201  
FOIb60-26292860